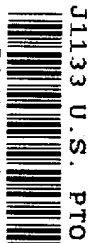


01/21/02



01-24-02

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## UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.: 1604-381

Inventors: Robert J. Smith of 1285 N. Cedar Brook Road, Boulder, Colorado 80304

Express Mail Label No.: EL 767781413 US

Title: "ON-AXIS LASER RECEIVER WAVELENGTH DEMULTIPLEXER WITH INTEGRAL IMMERSION LENSED DETECTORS"



Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

This application claims priority from U.S. Provisional Patent Application No. 60/264,079 on January 24, 2001. The entire disclosure of the provisional application is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference. Enclosed for filing with the above-identified utility patent application, please find the following:

1. ☒ Specification (Total Pages of Text, including Abstract and Claims: 56)
2. ☒ Drawing(s) (35 USC 113) (Total Sheets: 7) ☒ FORMAL ☐ INFORMAL
3. ☒ Oath or Declaration (Total Pages: 3) ☒ Signed ☐ Unsigned
4. ☒ Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i).
5. ☒ Assignment Papers (cover sheet & document(s))
6. ☒ Power of Attorney
7. ☒ Information Disclosure Statement (IDS/PTO-1449)
8. ☒ Copies of IDS Citations (Number of References: 43)
9. ☒ Return Postcard (MPEP 503) (should be specifically itemized)
10. ☒ A check in the amount of \$2,402.00 is enclosed.
11. ☒ Other:

**FEE CALCULATION:**

	(COL. 1) NO. FILED			(COL. 2*) NO. EXTRA	SMALL ENTITY			LARGE ENTITY	
					RATE	FEE		RATE	FEE
BASIC FEE:						\$370.00	OR		\$740.00
TOTAL CLAIMS:	89	-	20	69	X \$9 =		OR	X \$18 =	\$1,242.00
INDEP. CLAIMS:	8	-	3	5	X \$42 =		OR	X \$84 =	\$420.00
MULTIPLE DEPENDENT CLAIMS					+ \$140 =		OR	+\$280 =	\$0.00
*IF THE DIFFERENCE IN COL. 2 IS LESS THAN ZERO, ENTER "O" IN COL. 2.					TOTAL:				\$2,402.00

**OTHER INFORMATION:**

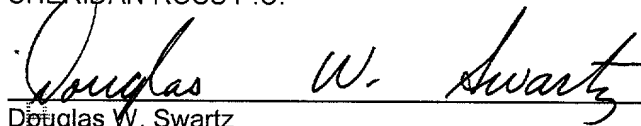
1. ☒ The Commissioner is hereby authorized to debit any underpayments or credit any overpayment to Deposit Account No. 19-1970.
2. ☒ The Commissioner is hereby authorized to charge all required fees for extensions of time under §1.17 to Deposit Account No. 19-1970.
3. ☒ Correspondence Address:

Douglas W. Swartz  
SHERIDAN ROSS P.C.  
1560 Broadway, Suite 1200  
Denver, Colorado 80202-5141  
Telephone: (303) 863-9700  
Facsimile: (303) 863-0223

5. ☒ Customer No: **22442**

Respectfully Submitted,

SHERIDAN ROSS P.C.

  
\_\_\_\_\_  
Douglas W. Swartz

Date: Jan. 21, 2002

Registration No. 37,739

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

SMITH

Serial No.: Not yet assigned

Filed: Herewith

Atty. File No.: 1604-381

For: "ON-AXIS LASER RECEIVER  
WAVELENGTH DEMULTIPLEXER WITH  
INTEGRAL IMMERSION LENSED  
DETECTORS"

Assistant Commissioner for Patents  
Washington, D.C. 20231

) Group Art Unit:

)

) Examiner:

)

) REQUEST FOR NON-PUBLICATION AND) CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)

)

) "EXPRESS MAIL" MAILING LABEL NUMBER: EL 767781413 US  
DATE OF DEPOSIT: January 21, 2002

)

) I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING  
DEPOSITED WITH THE UNITED STATES POSTAL SERVICE  
"EXPRESS MAIL POST OFFICE TO ADDRESSEE" SERVICE  
UNDER 37 CFR 1.10 ON THE DATE INDICATED ABOVE AND IS  
ADDRESSED TO THE ASSISTANT COMMISSIONER FOR  
PATENTS, WASHINGTON, D.C. 20231.TYPED OR PRINTED NAME: Angela P. DavisSIGNATURE: 

Dear Sir:

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C.122(b).

*Applicant understands that this request must be signed in compliance with 37 C.F.R. 1.33(b) and submitted with the application upon filing. Applicant also understands that they may rescind this nonpublication request at any time. If Applicant rescinds a request that an application not be published under U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed. If Applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the Applicant understands that they must notify the U.S. Patent Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).*

Respectfully submitted,

SHERIDAN ROSS P.C.

By: 

Douglas W. Swartz

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20053679-0102